

08-10-01

11049 U.S. PTO 08/08/01

11046 U.S. PTO 09/925155 10/80/80

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 45550/DBP/Y35
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Sang-Young Yoon
Title : NEGATIVE ELECTRODE FOR LITHIUM RECHARGEABLE
BATTERIES AND LITHIUM RECHARGEABLE BATTERIES
Express Mail Label No. : EL903022203US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: August 8, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

☐ This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

☐ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

16 Specification, claims and Abstract (total pages)

Drawings

1 Sheets of formal drawing(s) (FIGS. 1 to 1)

Declaration and Power of Attorney

☒ Newly executed

☐ Unexecuted declaration

☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (Appendix)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☐ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

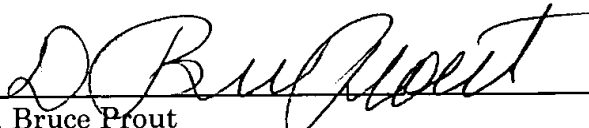
8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: August 8, 2001

Docket No. : 45550/DBP/Y35
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Sang-Young Yoon
Title : **NEGATIVE ELECTRODE FOR LITHIUM RECHARGEABLE BATTERIES
AND LITHIUM RECHARGEABLE BATTERIES** Duplicate

FEE DETERMINATION

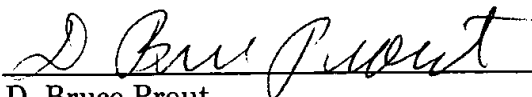
CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	5 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	x \$40.00	0 x \$80.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$710.00
List Independent Claims: 1, and 5					

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$710.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

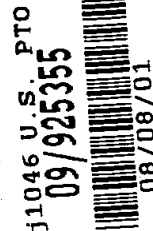
CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL903022203US



Applicant : Keiko Matsubara, et al.
Application No. : N/A
Filed : August 8, 2001
Title : NEGATIVE ELECTRODE FOR LITHIUM
RECHARGEABLE BATTERIES AND LITHIUM
RECHARGEABLE BATTERIES

Grp./Div. : N/A
Examiner : N/A

Docket No. : 45550/DBP/Y35

LETTER FORWARDING CERTIFIED
PRIORITY DOCUMENT

Assistant Commissioner for Patents
Washington, D.C. 20231


Post Office Box 7068
Pasadena, CA 91109-7068
August 8, 2001

Commissioner:

Enclosed is a certified copy of Japanese patent Application No. 2000-250256, which was
filed on August 21, 2000, the priority of which is claimed in the above-identified application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam
Enclosure: Certified copy of patent application